Epitaxial lattice-matched AlScN/GaN distributed Bragg reflectors

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L. van Deurzen, T.-S. Nguyen, J. Casamento, H. G. Xing, and D. Jena

AFFILITIATIONS
1 School of Applied and Engineering Physics, Cornell University, Ithaca, New York 14853, USA
2 Department of Materials Science and Engineering, Cornell University, Ithaca, New York 14853, USA
3 Department of Electrical and Computer Engineering, Cornell University, Ithaca, New York 14853, USA
4 Kavli Institute at Cornell for Nanoscale Science, Cornell University, Ithaca, New York 14853, USA

Abstract

We demonstrate epitaxial lattice-matched Al₈₀Sc₂₀N/GaN 10 and 20 period distributed Bragg reflectors (DBRs) grown on c-plane bulk n-type GaN substrates by plasma-assisted molecular beam epitaxy. Resulting from a rapid increase in in-plane lattice coefficient as scandium is incorporated into AlN, we measure a lattice-matched condition to GaN substrates by plasma-assisted molecular beam epitaxy. Resulting from a rapid increase in in-plane lattice coefficient as scandium is incorporated into AlScN, we measure a lattice-matched condition to c-plane GaN for a Sc content of just 11%, resulting in a large refractive index mismatch \( \Delta n > 0.3 \) corresponding to an index contrast of \( \Delta n/R_{\text{GaN}} = 0.12 \) with GaN. The DBRs demonstrated here are designed for a peak reflectivity at a vacuum wavelength of 400 nm, reaching a reflectivity of 0.98 for 20 periods. It is highlighted that AlScN/GaN multilayers require fewer periods for a desired reflectivity than other lattice-matched Bragg reflectors such as those based on AlInN/GaN multilayers.

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In recent years, the ultra-wide bandgap material Al\(_{1-x}\)Sc\(_x\)N has emerged as a subject of intense research interest, particularly within the domain of semiconductor electronics. The intentional introduction of scandium into AlN has been found to result in a remarkable enhancement of its piezoelectric coefficients and, notably, the emergence of ferroelectricity. More recently, perhaps not surprisingly due to the anisocrystalline alloying of rock salt ScN and wurtzite AlN, it is also being realized that certain optical properties of wurtzite AlScN are improved upon over those of AlN, including the second order nonlinear optical coefficients. Moreover, the possibility of lattice matching to GaN, along with the recent developments of their thin film synthesis, including reactive sputtering and epitaxy, predicts a positive outlook on the integration of wurtzite AlScN with existing group III/N semiconductor technologies.

While significant efforts have been dedicated to study piezoelectricity and ferroelectricity of AlScN for integration in RF and memory applications, reports on optoelectronic applications of AlScN remain scarce. In spite of this, the existing properties that make AlScN an attractive material for GaN-based electronics could also make it a promising material for optoelectronic integration. For example, lattice-matched AlScN is a good barrier for GaN high-electron mobility transistors (HEMTs) to overcome the critical thickness limitation in (Al, Ga)N/GaN HEMTs. Similarly, lattice-matched AlScN can be competitive to replace or outperform AlN, AlGaN, and AlInN in optoelectronic applications where minimizing crystal degradation and crack formation is of high interest.

One particular example is the use of distributed Bragg reflectors (DBRs) for nitride-based microcavities used in vertical-cavity surface-emitting lasers (VCSELs) and resonant cavity light-emitting diodes (RCLEDs). AlN/GaN and AlGaN/GaN multilayers were the first semiconductor-based epitaxial DBRs to be explored for GaN-based VCSELs. Unlike emitters using dielectric DBRs (e.g., SiO\(_2\)-based), emitters using Al(Ga)N-based epitaxial DBRs do not require complex fabrication techniques like liftoff and bonding. However, growing thick, high-quality Al(Ga)N/GaN DBRs remains challenging and requires complex strain engineering schemes due to the large lattice mismatch between AlN and GaN. Low refractive index porous GaN can also induce a significant refractive index mismatch for GaN-based VCSELs. Lattice-matched Al\(_{80}\)Sc\(_{20}\)N/GaN is a promising alternative to circumvent degradation of crystal and optical properties due to strain relaxation induced by lattice mismatch. However, the synthesis of high-quality AlInN thin films and AlInN/GaN layers is difficult.
because of the large difference in optimal growth temperatures for InN and AlInN. Furthermore, the refractive index mismatch $\Delta n \approx 0.2$ between $Al_{0.08}In_{0.92}N$ and GaN is quite low (relative contrast $\Delta n/n_{GaN} \approx 0.08$), meaning that more AlInN/GaN pairs are needed to achieve the same reflectivity demonstrated by Al(Ga)N/GaN DBRs. Finally, GaN-based DBRs are often epitaxially integrated as the bottom reflector in photonic devices, so a high crystal quality is critical to achieve any further epitaxial integration of active layers.

Replacing AlInN with AlScN lattice-matched to GaN can address these limitations since AlScN growth conditions are more compatible with GaN. As more, the lattice-matched condition occurs at a higher Al composition, which could yield a higher index mismatch with GaN. To this end, it is essential to determine the AlScN/GaN lattice-matched condition and the dependence of the refractive index on $Al_{1-x}Sc_xN$ alloy composition, $x$. In this work, by spectroscopic ellipsometry, we detail the dispersion of the refractive index of thin films of $Al_{1-x}Sc_xN$ near its lattice-matched condition with GaN. By studying films of approximately 80–100 nm thickness grown on bulk c-plane Ga-polar n$^+$GaN substrates by plasma-assisted molecular beam epitaxy (PA-MBE), we find that the lattice-matched condition occurs at a scandium content of $x = 0.11$. At this composition, we infer a refractive index mismatch $\Delta n \approx 0.3$ and index contrast $\Delta n/n_{GaN} \approx 0.12$ with respect to GaN for a vacuum wavelength around 400 nm. With this significant index mismatch and low optical losses due to the ultra-wide bandgap of vacuum wavelength around 400 nm, AlScN is nearly fully strained to the GaN substrate at a scandium composition between 11% and 12% Sc.

The AlScN films were grown under nitrogen-rich conditions to promote scandium incorporation and preserve the wurzite phase purity. A metal (Sc + Al) to nitrogen (III/V) ratio of 0.7 was employed. GaN was grown under metal-rich conditions to promote the step-flow growth mode for high crystallinity. The growth rates for AlScN and GaN were 3.0 and 3.8 nm/min, respectively. All growths were monitored in situ by reflection high-energy electron diffraction (RHEED).

Thin films of AlScN were grown on bulk n$^+$GaN substrates to determine the lattice-matched condition. For each sample, a 100 nm Si-doped n$^+$GaN layer was grown at a substrate temperature of 630 °C measured by a thermocouple. Excess Ga was fully consumed before the N-rich AlScN growth, followed by 80–100 nm AlScN grown at 530 °C thermocouple temperature. Note that the thermocouple substrate temperatures are ~50 °C below the true temperature. Based on the measured ordinary refractive index and lattice-matched composition, Al$_{1-x}$Sc$_x$N and GaN quarter-wavelength thicknesses were calculated for a peak reflectivity targeted at a vacuum wavelength of 400 nm. After the growth of a 100 nm unintentionally doped GaN buffer layer at 530 °C, 10 and 20 period DBR structures were grown with the target quarter-wavelength layer thicknesses. GaN was grown at the optimal growth temperature of AlScN to prevent growth interruption between layers. The Ga flux was calibrated to achieve ~8 s of Ga droplets for a 10 min GaN growth; excess Ga consumption was accounted for in the total growth time to control the GaN thickness accurately. Similar AlScN layer thicknesses were achieved by using the same III/V ratio (0.7) and growth time.

The thin (80–100 nm) AlScN films, as depicted in Fig. 1(a), are stabilized in the wurzite phase, as confirmed by the strong (0002) AlScN diffraction peak near 2$\theta$ = 36° [Fig. 1(b)]. For AlScN films with Sc composition around 12%, strong Pendellö sung fringes are observed in the symmetric XRD scan, suggesting high interface quality between the AlScN and n$^+$GaN layers. More importantly, when AlScN is nearly fully strained to the GaN substrate at a scandium incorporation of 11%, the two-dimensional step-flow growth mode and surface root mean square (rms) roughness below 0.3 nm could be achieved despite the nitrogen-rich growth condition [Fig. 1(c)]. From in-plane lattice constants at different Sc content [Fig. 1(d)], the lattice-matched condition is determined to lie between 11% and 12% Sc. These results suggest that nominally lattice-matched AlScN films of 80–100 nm thickness can be well integrated with GaN to achieve pseudomorphic AlScN/GaN multilayer structures, which are highly crystalline and display sharp interfaces.

Figure 1(e) shows the ordinary refractive index of AlScN as a function of Sc composition and wavelength determined from Mueller matrix ellipsometry measurements. The refractive index difference between $Al_{0.08}Sc_{0.12}N$ and GaN is $\Delta n \approx 0.3$ ($\Delta n/n_{GaN} \approx 0.12$) for a vacuum wavelength $\lambda = 400$ nm; this is significantly larger than $\Delta n \approx 0.2$ ($\Delta n/n_{GaN} = 0.06-0.08$) for lattice-matched AlInN/GaN. The larger index mismatch is enabled partly by AlScN having a larger lattice-matched aluminum composition than AlInN due to the rapid increase in in-plane lattice constant with increasing Sc composition [Fig. 1(f)]. It is important to note that various lattice-matched compositions between 9% and 18% Sc have been reported for AlScN grown by different methods and conditions. Therefore, the specific design parameters (refractive index, layer thickness, and lattice-matched condition) would vary depending on the growth conditions and specific structural and optical properties of AlScN films.

Finally, GaN-based DBRs were then measured by using an Agilent Cary 5000 UV-Vis-NIR spectrophotometer. The spectra were calibrated by using a UV-enhanced aluminum mirror with well-known reflectivity.
Accurate thickness control is also critical for a good DBR since the reflectivity depends strongly on the layer thicknesses. Figure 2(a) shows the AlScN/GaN DBR multilayer structure designed with intended thicknesses of 45 and 40 nm for Al0.18Sc0.82N and GaN, respectively, for λ = 400 nm (2λ/4n for each layer). To precisely control layer thicknesses, the molecular beam fluxes and substrate temperature were kept constant throughout the growth. The substrate thermocouple temperature (530 °C) is lower than the optimal growth temperature of GaN but is optimal for AlScN 23,24,29 and helps minimize the growth interruption between alternating AlScN and GaN layers. The growth conditions reported here are more easily controlled than in AlInN/GaN multilayer growths, which requires careful temperature and flux control due to high In desorption and InN decomposition rates at temperatures suitable for GaN and AlN growths. Since step meandering can impact surface roughness and, consequently, the homogeneity of transport layers and optical active regions grown on top of such bottom DBR structures, the effect of substrate temperature and III/V ratio on AlScN/GaN multilayer surface morphology will be examined more thoroughly in future works.

Although AlScN films (thickness <100 nm) usually exhibit island-like features with RMS roughness between 0.3 and 2 nm due to the nitrogen-rich growth condition 23,24,29, a smooth surface morphology is maintained after 10 [Fig. 2(b)] and even 20 (see the supplementary material) Al0.18Sc0.82N/GaN periods in this study. Specifically, an rms surface roughness of 0.33 nm (0.51 nm) and clear atomic steps were achieved for a total growth thickness of 950 nm (1800 nm) in the 10 (20) period DBR sample, highlighting the high crystallinity and interface qualities achieved by using nominally lattice-matched growth conditions.

Figure 2(c) further shows the high interface quality and precise thickness control achieved by MBE. Sharp interfaces between AlScN and GaN layers are evidenced by the strong interference fringes in the 2θ–ω scans corresponding to the 10 period [Fig. 2(c)] and 20 period (see the supplementary material, Fig. S1). The spacing between the interference fringes matches well with a simulated multilayer structure of 10-pairs of AlScN/GaN with a thicknesses of 45/40 nm per pair. Figure 2(d) shows AlScN (1015), GaN (1015), and all satellite peaks aligned vertically in the reciprocal space map, confirming that all multilayers are pseudomorphically grown on the bulk n-GaN substrate. This would enable higher crystal quality by minimizing dislocation generation due to strain relaxation. Due to growth-to-growth flux variations, strain relaxation with 0.06% in-plane lattice mismatch was found in AlScN layers for the 20 period sample (see the supplementary material, Fig. S1). By carefully tuning the Sc composition, pseudomorphic AlScN/GaN multilayer structures with more periods can be demonstrated. XRD rocking curve scans around the (0002) and (1015) reflections, which is shown in the supplementary material, Fig. S2. The symmetric (0002) reflections for AlScN show a full-width at half-maximum (FWHM) of 30 (35) arcsec for the 10 (20) period sample, close to the FWHM of 27 arcsec for the bulk GaN substrate. A comparable RC FWHM of 30 (35) arcsec is measured for the AlScN asymmetric (1015) scans for the 10 (20) period sample. The similar RC FWHM values between the substrate and the AlScN epilayers measured for the symmetric (0002) and asymmetric (1015) reflections indicate that pseudomorphic AlScN/GaN multilayers can adopt and maintain the high crystallinity of the bulk substrate. The promising structural and surface/interface qualities indicate that lattice-matched AlScN/GaN multilayer structures can serve as high-quality templates and bottom reflectors for the integration of active layers in vertical-cavity emitters such as reported for AlInN, 10,11,20,32,33.
The normal incidence reflectivity spectra of the 10 and 20 period DBRs near the photonic stop band are shown in Fig. 3. As predicted from the refractive index dispersion for GaN and Al$_{0.89}$Sc$_{0.11}$N, the reflectivity spectra as simulated by the transfer matrix method (TMM) match remarkably well with the experimental data for both the 10 and 20 period DBRs, which are shown in Fig. 3. This is enabled by negligible optical interface scattering losses due to the sub-nm sharp interfaces and negligible optical losses as confirmed from ellipsometry, where the ordinary optical extinction coefficient, $k$, of Al$_{0.89}$Sc$_{0.11}$N layers was below the detection limit ($k < 0.001$) in the UV-A, visible, and NIR regimes. The peak reflectivity was found to be 0.86 and 0.98 for the 10 and 20 period DBRs, respectively, just slightly lower than the zero-loss predicted peak reflectivity values of 0.89 and 0.99. The full-width at half maximum of the photonic stop-bands is also in good agreement with the TMM simulated spectra, yielding values of 44 and 33 nm for the 10 and 20 period DBRs, respectively. It should be noted that below a wavelength of 365 nm, corresponding to the bandgap of GaN, the interference fringes disappear in the reflectivity spectra of Fig. 3 due to the onset of interband absorption. Therefore, AlScN/GaN DBRs are limited to a photon energies lower than the bandgap of GaN. However, the ultra-wide bandgap of AlScN which is larger than 5 eV for scandium contents below 25% makes AlScN/AlGaN multilayers suitable for DBRs operating at shorter wavelengths than those limited by the bandgap of GaN, into the UV-A, UV-B, and UV-C regimes.

To put into perspective the advantages of using lattice-matched AlScN/GaN multilayer reflectors, we compare them with the extensively studied AlInN/GaN platform. Specifically, Fig. 4 shows a benchmark plot of epitaxial lattice-matched nitride-based DBRs on GaN showing peak reflectivity vs number of periods in the multilayer. Reports on AlInN/GaN multilayers with peak reflectivity ranging from 400 to 560 nm are shown by black and AlScN/GaN-based DBRs, which are shown by violet stars. As predicted by the lower refractive index mismatch of AlInN/GaN due to its measured index contrast of $\Delta n/n_{GaN} = 0.12$, this is in accordance with the theoretical zero-loss approximation for the reflectivity vs number of periods of GaN-based DBRs with $\Delta n/n_{GaN}$ of 0.08 and 0.12, which are shown by the black and violet dashed curves, respectively (Fig. 4). The ordinary refractive index of GaN, $n_{GaN}$, is set to 2.52, which is the measured value at $\lambda = 400$ nm.
significant due to the larger atomic radii of Y and La compared to Sc. Nitrides YN or LaN with AlN. Here, the latter effect is even more significant.

A final point of discussion, we emphasize one of the reasons for the large refractive index mismatch between AlScN and GaN to be the rapid increase in the in-plane lattice parameter of AlScN as the Al content in AlYN and AlLaN alloys, which could result in a larger refractive index mismatch from Sc–N as compared to Al–N. This prediction still holds true for alloying of the heavier transition metal nitrides for integration with the AlScN/GaN platform.

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The authors have no conflicts to disclose.

**AUTHOR DECLARATIONS**

**Conflict of Interest**

The authors have no conflicts to disclose.

**Author Contributions**

**Len van Deurzen**: Conceptualization (lead); Data curation (equal); Formal analysis (equal); Investigation (equal); Methodology (equal); Visualization (equal); Writing – original draft (equal); Writing – review & editing (equal).

**Thai-Son Nguyen**: Data curation (equal); Formal analysis (equal); Investigation (equal); Methodology (equal); Visualization (equal); Writing – original draft (equal); Writing – review & editing (equal).

**Joseph Casamento**: Formal analysis (supporting); Investigation (supporting); Methodology (supporting).

**Hui Li Grace Xing**: Formal analysis (supporting); Funding acquisition (equal); Investigation (supporting); Methodology (supporting).

**Debdeep Jena**: Formal analysis (equal); Funding acquisition (equal); Investigation (supporting); Methodology (supporting); Writing – review & editing (equal).

**DATA AVAILABILITY**

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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See the supplementary material for structural characterizations of the 20 period DBR sample and the XRD rocking curves corresponding to the multilayer films and the bulk n+ GaN substrate.

Len van Deurzen and Thai-Son Nguyen contributed equally to this work. The authors thank Dr. Nina Hong and J. A. Woolam Company for assistance with accurate determination of the refractive index dispersion of the AlScN and GaN films. This work was partially supported by the Cornell Center for Materials Research with funding from the NSF MRSEC program (Grant No. DMR-1719875) as well as by the Army Research Office (Grant No. W911NF2220177).

In summary, we have demonstrated the growth and characterization of lattice-matched AlInN/GaN DBRs. These advantages encourage the integration of transition metal nitrides with the existing III/V optoelectronic ecosystem. Such multilayer and superlattice structures are also of high interest for multichannel electronic device applications and for intersubband devices such as quantum cascade lasers.

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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Supplementary Material - Epitaxial lattice-matched AlScN/GaN distributed Bragg reflectors

L. van Deurzen,1, a) T.-S. Nguyen,2 J. Casamento,2 H.G. Xing,2,3,4 and D. Jena1,2,3,4

1) School of Applied and Engineering Physics, Cornell University, Ithaca, New York 14853, USA
2) Department of Materials Science and Engineering, Cornell University, Ithaca, New York 14853, USA
3) Department of Electrical and Computer Engineering, Cornell University, Ithaca, New York 14853, USA
4) Kavli Institute at Cornell for Nanoscale Science, Cornell University, Ithaca, New York 14853, USA

The supplementary material includes:
Figure S1, Figure S2

a) Author to whom correspondence should be addressed: lhv9@cornell.edu
FIG. S1. (a) 2θ-ω XRD scans of the 10-period and 20-period samples on bulk n⁺GaN substrate. The interference fringe spacing suggests similar layer thicknesses were achieved in both multilayer structures. A shift in the AlScN (002) peak suggests slight variation in the Sc composition between the ten period and twenty period samples. (b, c) 2×2 μm² AFM and (10̅15) reflection RSM scans of the ten period and twenty period AlScN/GaN 45/40 nm multilayer structures. While the rms roughness increases from 0.33 nm for the ten period sample to 0.51 nm for the twenty period sample, clear atomic steps enabled by the step-flow growth mode are maintained. The in-plane lattice mismatch between Al₀.₈₉Sc₀.₁₁N and GaN is 0.02%, likely due to atomic flux drifting away from the lattice matched composition during growth. A slightly larger in-plane lattice mismatch of 0.06% is found in the 20-period sample. By enhancing the flux control for longer growths, fully lattice-matched AlScN/GaN multilayer structures can be achieved.
FIG. S2. (a) XRD rocking curve (RC) scans of the GaN (0002) peak, AlScN (0002) peak corresponding to the ten-period sample and AlScN (0002) peak corresponding to the twenty-period sample on bulk n+GaN substrates. The RC full-width at half-maximum (FWHM) values of the GaN (0002) peak, AlScN (0002) peak corresponding to the ten-period sample, and AlScN (0002) peak corresponding to the twenty-period sample, are 27 arcsec, 30 arcsec, and 35 arcsec, respectively. (b) XRD RC scans of the GaN and AlScN peaks about the (10\bar{1}5) reflection of the ten-period sample. (c) XRD RC scans of the GaN and AlScN peaks about the (10\bar{1}5) reflection of the twenty-period sample. The FWHM values of the GaN and AlScN peaks in the ten-period sample are 21 arcsec and 29 arcsec, respectively. The additional peak observed in the RC scan of GaN (10\bar{1}5) reflection in the ten-period sample is due to a nearby fringe. The FWHM values of the GaN and AlScN peaks in the twenty-period sample are 30 arcsec and 39 arcsec, respectively. All peaks are plotted in normalized intensity and the peaks are centered for direct comparison. The RC peak broadening observed in the twenty-period sample compared to the ten-period sample is caused by the slight deviation from the lattice-matched composition. Compared to the GaN substrate peak, the minimal AlScN peak broadening in both symmetric and asymmetric RC scans for the ten-period and twenty-period samples suggest that the high crystallinity was maintained in the AlScN layers.