

# Quantitative modeling of threshold instability in $\beta$ -Ga<sub>2</sub>O<sub>3</sub> finFETs through electro-optical investigation

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Manuel Fregolent,<sup>1,a)</sup> Francesco Piva,<sup>1</sup> Carlo De Santi,<sup>1</sup> Matteo Buffolo,<sup>1,2</sup> Wenshen Li,<sup>3</sup>   
Kazuki Nomoto,<sup>3</sup> Zongyang Hu,<sup>3</sup> Debdeep Jena,<sup>3,4</sup> Huili Grace Xing,<sup>3,4</sup> Gaudenzio Meneghesso,<sup>1</sup>   
Enrico Zanoni,<sup>1</sup> and Matteo Meneghini<sup>1,2</sup>

## AFFILIATIONS

<sup>1</sup> Department of Information Engineering, University of Padova, Padova 35131, Italy

<sup>2</sup> Department of Physics and Astronomy, University of Padova, Padova 35131, Italy

<sup>3</sup> School of Electrical and Computer Engineering, Cornell University, Ithaca, New York 14853, USA

<sup>4</sup> Department of Materials Science and Engineering, Cornell University, Ithaca, New York 14853, USA

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**a)** Author to whom correspondence should be addressed: [manuel.fregolent@unipd.it](mailto:manuel.fregolent@unipd.it)

## ABSTRACT

This paper describes the physical origin of  $V_{TH}$  instabilities in  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> finFETs, based on electro-optical measurements. In particular, (i) we investigated the  $V_{TH}$  instability by means of pulsed  $I_D$ - $V_{GS}$ , demonstrating the existence of an electron trapping process involving border states in the dielectric; then, (ii) we analyzed the charge trapping kinetics at high temperatures, identifying a temperature-dependent mechanism having activation energy of  $0.44 \pm 0.08$  eV; and finally, (iii) we describe a new experimental methodology to investigate the optically stimulated emission of the trapped carriers. The results were employed to develop a quantitative model that identifies, as a root cause for trapping, the presence of a defect band located  $\sim 3.3$  eV below the conduction band edge of the dielectric layer.

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## I. INTRODUCTION

Gallium oxide power devices are emerging as candidates for the development of low-cost and high-power transistors and rectifiers targeting the 1–10 kV range.<sup>1,2</sup> Vertical  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> devices with different device architectures<sup>3</sup> are currently under study, including current aperture vertical MOSFETs obtained with acceptor implantation<sup>4</sup> or diffusion,<sup>5</sup> trench MOSFETs,<sup>6</sup> and finFETs.<sup>7–9</sup> With respect to the lateral counterparts, vertical  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> transistors are preferred for the superior electrical field management, which results in higher breakdown voltages, and for the intrinsic normally OFF operation mode.

Despite the recent advancements in the optimization of the breakdown voltage and ON-resistance,  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> devices are still prone to strong  $V_{TH}$  instability mechanisms<sup>10–13</sup> usually associated with trapping in oxide or interface traps. Given the ultrawide energy

gap of both  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> and the dielectric layers, and the consequent presence of very deep-traps ( $E_A > 0.8$ – $1.0$  eV), the analysis of semiconductor/oxide defects is not straightforward and cannot be performed via the conventional temperature-dependent analysis.<sup>10,14,15</sup> In fact, the emission time constant of very deep traps is much longer than the rate window of conventional deep-level spectroscopy apparatus, resulting in an impractical measurement time or in the need of reaching extremely high characterization temperatures.<sup>16</sup>

The goal of this paper is to address this issue by providing a quantitative description and modeling of the traps responsible for threshold instabilities in  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> devices. To this aim, we substantially advanced the analysis already reported in Ref. 11 by proposing a novel approach based on high-temperature threshold voltage transients and combined electrical and optical measurements based on a generalization of the deep level optical spectroscopy technique. The procedure was applied on gallium oxide-based finFETs,

and a complete model of the related detrapping transients was obtained.

## II. DEVICES UNDER TEST AND PULSED ANALYSIS

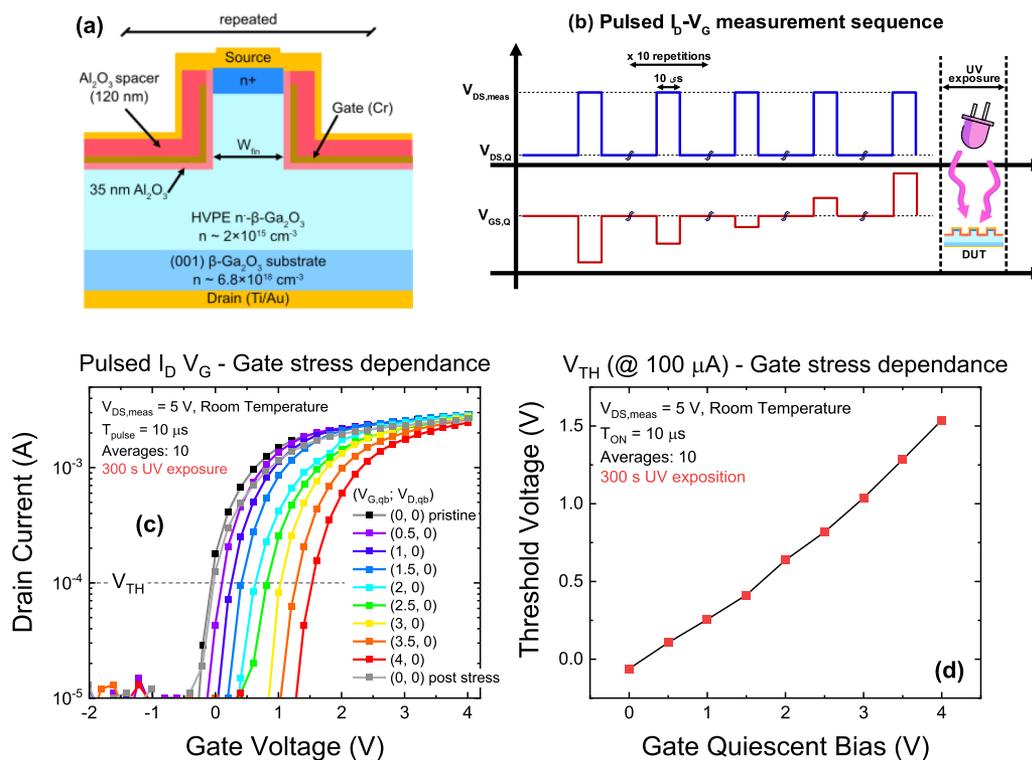
The devices under test are vertical  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> finFETs<sup>7</sup> [Fig. 1(a)]. A low-doped ( $2 \times 10^{15} \text{ cm}^{-3}$ )  $10 \mu\text{m}$  n<sup>-</sup> drift layer was grown by halide vapor phase epitaxy (HVPE) on a highly conductive (001) n<sup>+</sup>- $\beta$ -Ga<sub>2</sub>O<sub>3</sub> substrate. Fin channels were defined by electron beam lithography and formed by dry-etching with BCl<sub>3</sub>/Ar, followed by HF to recover the damage. Ti/Au drain ohmic contact was formed on the back-side. A 35 nm Al<sub>2</sub>O<sub>3</sub> gate dielectric was deposited by atomic layer deposition (ALD), followed by the sputtering of 50 nm Cr as gate metal. Source contacts and field plates consist of Ti/Al/Pt.

A preliminary analysis of the stability of the samples was obtained by performing pulsed- $I_D$ - $V_G$  measurements under gate stress by using a custom experimental setup.<sup>17,18</sup> The devices were biased at a constant quiescent bias (QB) voltage ( $V_G, QB; V_{D, QB}$ ) that was periodically interrupted to perform a short  $10 \mu\text{s}$  pulse to measure the drain current. During the measurement pulse, the drain of the devices were biased at  $V_{DS, meas} = 5 \text{ V}$ ; under all other conditions, the drain was biased at  $V_{D, QB} = 0 \text{ V}$  to ensure no current flow. The interval between two consecutive pulses was longer than 100 ms; each pulse was averaged 10 times to improve the current resolution of the system: this resulted in a long overall stress time, sufficient

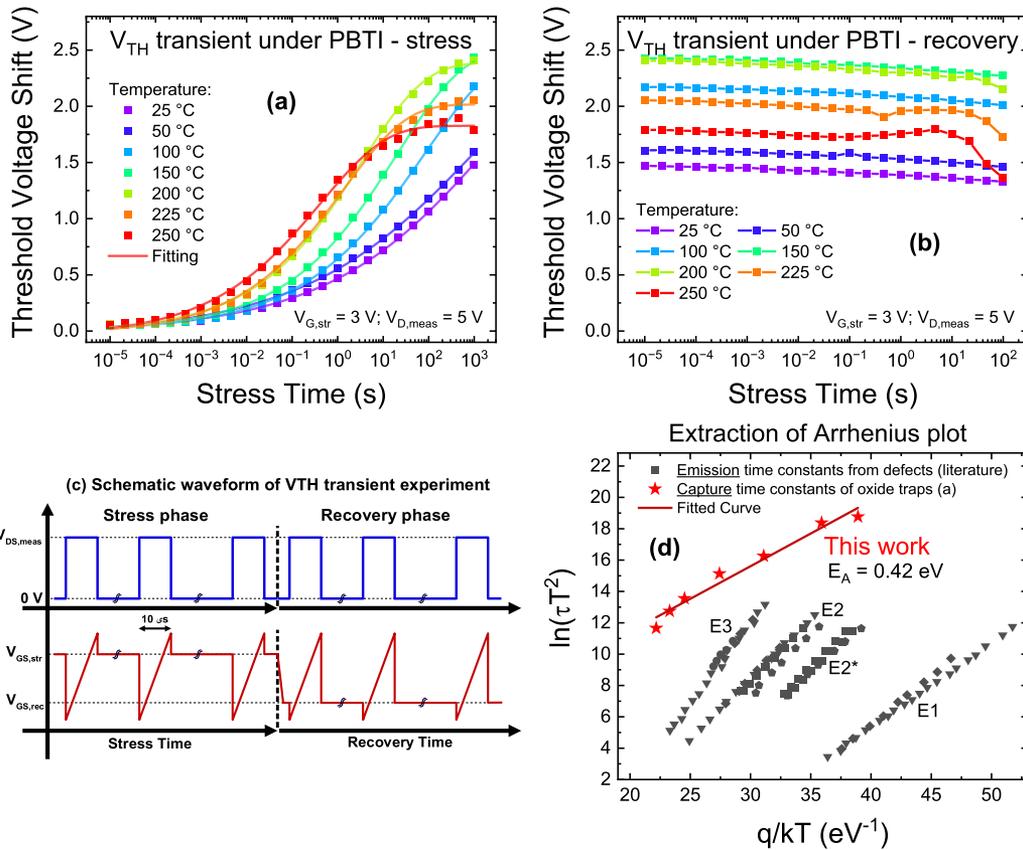
to induce a significant trapping in the device. Between the applications of each QB, UV light obtained by a 265 nm LED was shone on the sample for 300 s to restore the pristine state. Typical waveforms are reported in Fig. 1(b). From the results reported in Fig. 1(c), we notice that every positive quiescent bias induces a rightward shift of the  $I_D$ - $V_G$  curve, compatible with the trapping of electrons at oxide/interface deep levels, which is also consistent with an earlier report.<sup>19</sup>  $V_{TH}$  as function of the applied quiescent bias shown in Fig. 1(d) was extracted by linearly interpolating the  $I_D$ - $V_G$  data at a current level of  $10^{-4} \text{ A}$ .

## III. ANALYSIS OF HIGH-TEMPERATURE $V_{TH}$ TRANSIENTS

More insights into the trapping process were obtained by characterizing the kinetics of  $V_{TH}$  during stress and recovery experiments in a wide temperature range. This was done through a Keysight B1530 waveform generator and measurement unit, programmed with a custom waveform set, schematically reported in Fig. 2(c). The devices were stressed at a constant  $V_{G, str} = 3 \text{ V}$  for  $t_{stress} = 1000 \text{ s}$  and then recovered for  $t_{recovery} = 100 \text{ s}$  at  $V_{G, rec} = 0 \text{ V}$ . In both phases, drain voltage was kept at 0 V. The stress and recovery phases were periodically interrupted to perform a fast  $I_D$ - $V_G$  measurement with a sweep time of  $10 \mu\text{s}$ ; at this stage, the drain of the devices was biased at  $V_{DS, meas} = 5 \text{ V}$ . The  $V_{TH}$  as



**FIG. 1.** (a) Schematic structure of the  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> finFETs under test; (b) typical waveforms employed in the pulsed  $I_D$ - $V_G$  characterization. (c) Pulsed  $I_D$ - $V_G$  experiment as a function of a different quiescent bias performed at room temperature ( $25^\circ\text{C}$ ). (d)  $V_{TH}$  extracted by interpolating the experimental data in panel (c) at a current level of  $10^{-4} \text{ A}$ .



**FIG. 2.**  $V_{TH}$  transients in temperature during a (a) gate stress of  $V_{G, stress} = 3$  V and (b) recovery phase at  $V_{G, rec} = 0$  V. (c) Schematic waveforms of the  $V_{TH}$  transient experiment. (d) Arrhenius plot of the capture time constants related to the sample in panel (a) obtained during stress phase is not related to any common defect of  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>.

function of stress and recovery time are reported, respectively, in Figs. 2(a) and 2(b). During stress,  $V_{TH}$  shows a thermally accelerated stretched exponential,<sup>20</sup> defined as

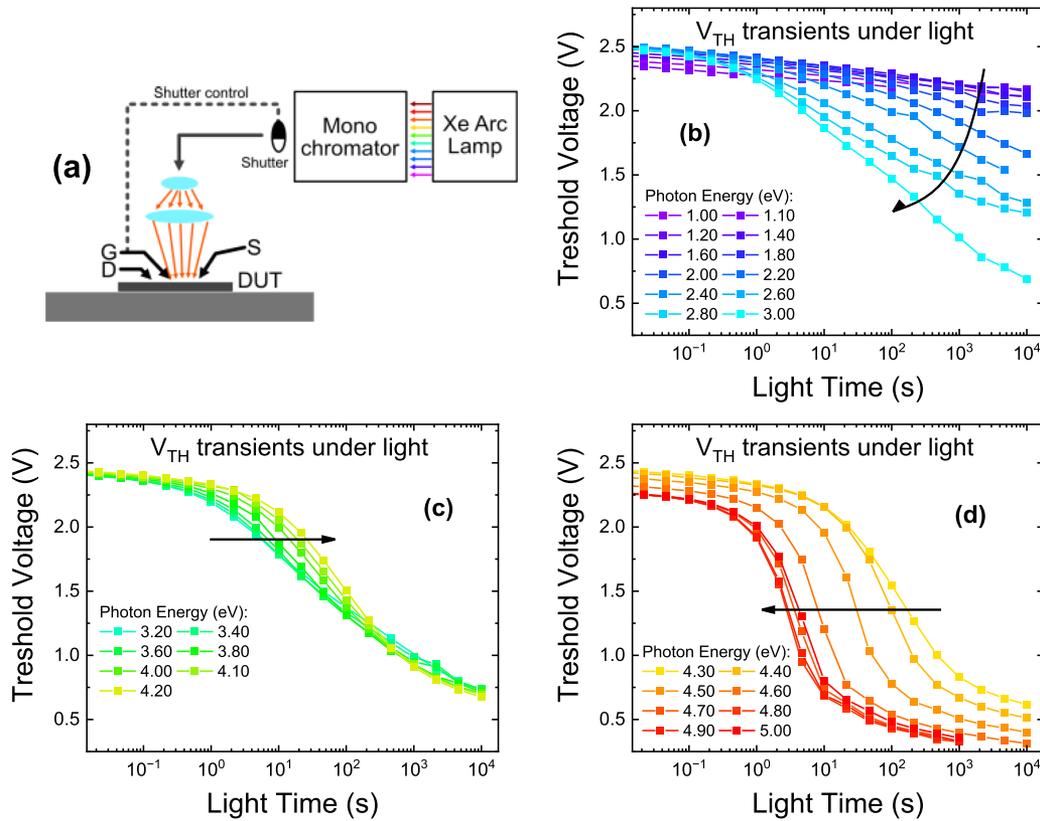
$$V_{TH} = V_{TH,0} + A \exp \left[ - \left( \frac{t}{\tau} \right)^\beta \right], \quad (1)$$

where  $\tau$  is the time constant of the exponential (depending on temperature as  $\tau \sim \exp \left( \frac{E_a}{kT} \right)$ ) and  $\beta$  is the stretching factor. By fitting the experimental data, we extracted activation energy of 0.42 eV, similar to the one of other traps in  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>.<sup>16,21,22</sup> However, the levels are not related. In fact, (a) the Arrhenius signature reported in Fig. 2(d) is located in a different region of the plot (indicating a significant difference in terms of time constants); (b) results in Ref. 21 refer to a carrier emission process, whereas data in Fig. 2 are related to the charge capture dynamics. The experiment was then conducted on three other samples, showing similar time constants and Arrhenius plot in the stress phase, leading to extracted average activation energy of  $0.44 \pm 0.08$  eV.

The measured activation energy of 0.42 eV is thus ascribed to a capture barrier that electrons in the accumulation channel have to overcome to be injected and trapped at border traps of the oxide layer [Figs. 5(a) and 6(a)]. The results of tests carried out by keeping the devices at 0 V after stress [Fig. 2(b)] indicate that the charge trapping process is almost completely non-recoverable, even at high temperatures  $\sim 200$ – $250$  °C. The results, compared to previous literature reports,<sup>10,12</sup> indicate the good stability of the analyzed technology. The findings are also encouraging if compared with early studies on ALD Al<sub>2</sub>O<sub>3</sub> on GaN, in which  $V_{TH}$  shifts  $\gg 1$  V, both in MOS capacitors<sup>23</sup> and transistors.<sup>24</sup>

#### IV. OPTICAL ANALYSIS AND MODELLING

The absence of thermally stimulated recovery shown in Fig. 2(b) is related to the fact that the traps responsible for  $V_{TH}$  shift are too deep (in energy) for showing carrier emission. To investigate the related trap-states, we then designed an experimental methodology for optically stimulated emission of trapped carriers.<sup>25</sup> The setup is schematically described Fig. 3(a) and consists of a broad-



**FIG. 3.** (a) Experimental setup employed for the detection of optically induced threshold voltage transients.  $V_{TH}$  transients under light present three regimes: (b) for low photon energies ( $E_{ph} < 3$  eV), we found log-like transients; then (c) for  $3.2$  eV  $\leq E_{ph} \leq 4.2$  eV, the transients become stretched exponentials; and finally, for  $E_{ph} \geq 4.3$  eV, (d) the transients become nearly ideal exponentials with a strong wavelength dependence.

band Xe lamp, a monochromator to select the incident wavelength, a fast mechanical shutter, and suitable lenses to collimate the incident light on the device under test. The control signal for the shutter is obtained by sampling the gate signal (i.e., the shutter opens only during the recovery phase, when  $V_G = 0$  V). In this experiment, the devices were stressed for 100 s at  $V_{G, str} = 3$  V at room temperature and the carrier emission transient was monitored for 10 ks at  $V_{G, rec} = 0$  V under light with the same setup discussed above. The results in terms of  $V_{TH}$  kinetic identify four different regimes: (i) for low photon energies [ $E_{ph} < 2$  eV, Fig. 3(b)], light does not significantly influence the detrapping process; (ii) for  $2$  eV  $< E_{ph} \leq 3$  eV, the recovery transients are log-like and present a strong wavelength dependence; (iii) for  $3.2$  eV  $< E_{ph} \leq 4.2$  eV, the shape of the transients approaches a stretched exponential, while the time constant remains approximately unchanged; and finally (iv) for high photon energies, the emission transients are nearly ideal and present a strong wavelength dependence.

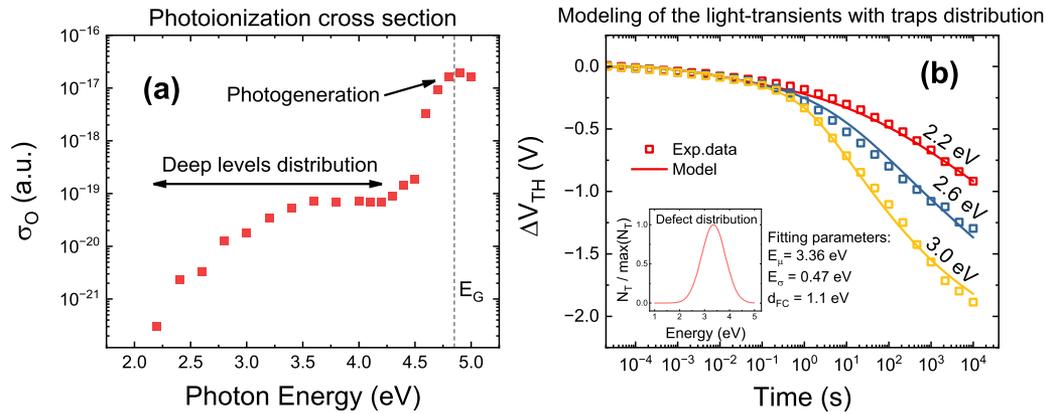
To analyze the experimental data, we relied on the theoretical background of the deep level optical spectroscopy (DLOS).<sup>16,26</sup> First, we extracted the photoionization cross section of the defects, defined as<sup>16,26</sup>

$$\sigma_o(E_{ph}) = \frac{1}{\Phi_{ph}(E_{ph})\tau(E_{ph})}, \quad (2)$$

where  $\Phi_{ph}$  is the incident photon flux obtained from a calibration of the optical system and  $\tau$  is the time constant extracted from a stretched exponential fit. The results shown in Fig. 4(a) confirm the presence of a broad distribution of values of  $\sigma_o$  for  $E_{ph} < 4.2$  eV, which is tentatively associated with an energy band of deep levels and a sharp increase in the PCS for  $E_{ph} > 4.5$  eV.

Under the hypothesis that the defects in the band are non-interacting, each optical activation energy  $E_O$  gives rise to an ideal exponential transient, with amplitude proportional to the defect density  $N_T(E_O)$ . Now, the  $V_{TH}$  shift (which is proportional to the trapped charge) is obtained by integrating the contribution of different defects within the energy gap, following the approach proposed by Modolo *et al.* for thermal emission from defect bands,<sup>20</sup>

$$\Delta V_{TH}(t) \propto n_T(t, E_{ph}) = \int_{E_V}^{E_C} N_T(E_O) \left[ 1 - e^{-\frac{t}{\tau(E_O, E_{ph})}} \right] dE_O. \quad (3)$$



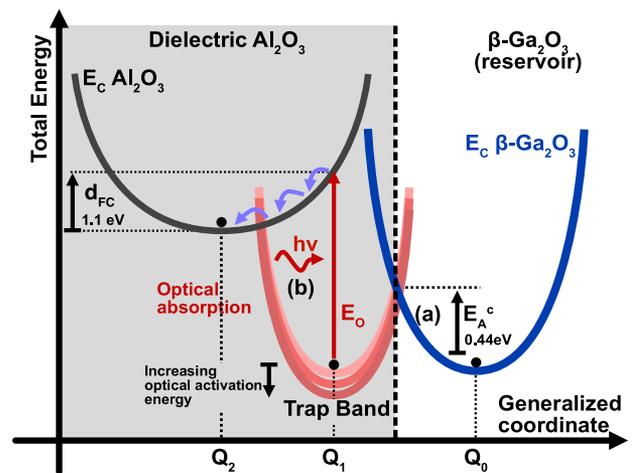
**FIG. 4.** (a) Photoionization cross section extracted from the optical emission transients according to Eq. (2); (b) numerical simulation of the optical emission transients according to the model in Eq. (3), in which the optical time constants are extracted according to the Passler model.

The time constant of the transients  $\tau(E_{ph})$  are then calculated according to Eq. (2), where the photoionization cross section  $\sigma_O$  is estimated from the Pässler model,<sup>27</sup> as a function of the optical activation energy  $E_O$ , the Franck–Condon shift  $d_{FC}$ , the incident photon energy  $E_{ph}$ , and temperature  $T$ ,

$$\sigma_O(E_{ph}, T) \cong \frac{K}{h\nu\sqrt{2\pi d_{FC}\epsilon \coth\left(\frac{\epsilon}{2k_B T}\right)}} \times \int_0^\infty \frac{E_K^3}{(E_K + E_O - d_{FC})^2} \times \exp\left[-\frac{E_{ph} - E_O - E_K}{2d_{FC}\epsilon \coth\left(\frac{\epsilon}{2k_B T}\right)}\right] dE_K \quad (4)$$

The model was implemented numerically in MATLAB, and the defect parameters were fitted by means of an optimization algorithm following the approach discussed in Ref. 28 for a subset of the experimental data (2.2, 2.6, and 3.0 eV). The photon energies selected for the optimization algorithm were meant to represent three particular experimental trends: 2.2 eV represent the “strongly stretched/log-like” transients, 3.0 eV is one of the first energies in which we notice an exponential behavior, and 2.6 eV is in between the latter two. The results indicate that the defect distribution that better reproduces the experimental data is a Gaussian distribution, centered at  $E_\mu = 3.36$  eV, with standard deviation  $E_\sigma = 0.47$  eV, and  $d_{FC} = 1.1$  eV [Fig. 4(b), inset]. In this simplified model, we considered that the entire defect band has a constant  $d_{FC}$ , as reported in the generalized configuration coordinate diagram in Fig. 5(a); this assumption is valid because  $d_{FC}$  does not change substantially for defect with the same origin. The model could be, in principle, extended to consider a general energy-distribution of the defects and a variable Frank–Condon shift, but this approach was not followed to avoid possible over-parameterization and to simplify the discussion.

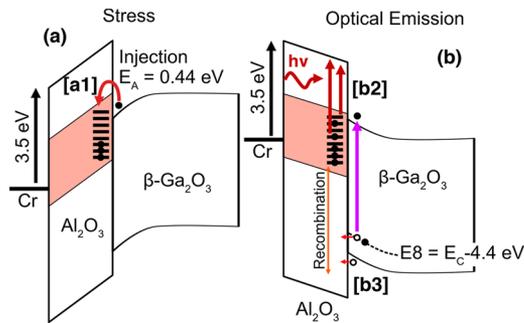
The model can be represented with the generalized configuration coordinate diagram reported in Fig. 5. The electrons in the



**FIG. 5.** Simplified configuration coordinate diagram of the (a) trapping and (b) optical detrapping processes.

accumulation channel in the  $\beta$ -Ga<sub>2</sub>O<sub>3</sub> layer acts as an electron reservoir,<sup>29</sup> which are then injected into the trap band in the oxide layer with a capture barrier of 0.44 eV that corresponds to the energy that the electrons have to acquire to reach the intercept between the two parabolas. Then, during light illumination, the electrons can be excited in a vertical transition from the defect band to the conduction band of the oxide.

The onset of the fourth regime for the high energy range ( $E_{ph} \geq 4.3$  eV) is correlated with the optical ionization of the level E8<sup>16,21,30</sup> in  $\beta$ -Ga<sub>2</sub>O<sub>3</sub>; therefore, we suggest that this regime is related to the photogeneration of holes from the level E8 that are injected into the oxide and eventually recombine with trapped electrons, as shown in Fig. 6(b3).



**FIG. 6.** Macroscopic interpretation of the (a) trapping and (b) optical detrapping processes. When stressed at positive gate voltage, (a1) the electrons in the channel are injected in oxide border states with a thermally assisted process. When the samples are exposed to low-energy photons (b2), they are detrapped through emission to the conduction band of the oxide. High photon energies (b3) induce the photoionization of the E8 trap, with consequent injection of holes in the oxide. Band discontinuity between Cr and  $\text{Al}_2\text{O}_3$  is from Ref. 11.

## V. CONCLUSIONS

In conclusion, we quantitatively investigated the trapping and detrapping processes responsible for threshold voltage instability in  $\beta\text{-Ga}_2\text{O}_3$  finFETs with  $\text{Al}_2\text{O}_3$  gate dielectric. First, we demonstrated that the trapping process is thermally activated, with thermionic barrier energy equal to  $0.44 \pm 0.08$  eV, due to the injection of the electrons in oxide border traps. Then, we developed an advanced technique to analyze the optical-stimulated emission of trapped carriers. The results indicate that the defect band responsible for the trapping process is located at 3.36 eV below the conduction band of the oxide.

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## AUTHOR DECLARATIONS

### Conflict of Interest

The authors have no conflicts to disclose.

## Author Contributions

**Manuel Fregolent:** Conceptualization (equal); Data curation (equal); Investigation (equal); Methodology (equal); Software (equal); Visualization (equal); Writing – original draft (equal). **Francesco Piva:** Conceptualization (equal); Formal analysis (equal); Methodology (equal); Writing – original draft (equal). **Carlo De Santi:** Conceptualization (equal); Formal analysis (equal); Methodology (equal); Writing – original draft (equal). **Matteo Buffolo:** Conceptualization (equal); Formal analysis (equal); Methodology (equal); Writing – original draft (equal). **Wenshen Li:** Conceptualization (equal); Investigation (equal). **Kazuki Nomoto:** Conceptualization (equal); Investigation (equal). **Zongyang Hu:** Conceptualization (equal); Investigation (equal). **Debdeep Jena:** Conceptualization (equal); Funding acquisition (equal); Project administration (equal); Supervision (equal). **Huili Grace Xing:** Conceptualization (equal); Funding acquisition (equal); Project administration (equal); Supervision (equal). **Gaudenzio Meneghesso:** Funding acquisition (equal); Project administration (equal); Supervision (equal). **Enrico Zanoni:** Funding acquisition (equal); Project administration (equal); Supervision (equal). **Matteo Meneghini:** Conceptualization (equal); Methodology (equal); Writing – original draft (equal); Funding acquisition (equal); Project administration (equal); Supervision (equal).

## DATA AVAILABILITY

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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