Hot Electron Transistor with van der Waals Base-Collector Heterojunction and High-Performance GaN Emitter

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Supporting Information

ABSTRACT: Single layer graphene is an ideal material for the base layer of hot electron transistors (HETs) for potential terahertz (THz) applications. The ultrathin body and exceptionally long mean free path maximizes the probability for ballistic transport across the base of the HET. We demonstrate for the first time the operation of a high-performance HET using a graphene/WSe2 van der Waals (vdW) heterostructure as a base-collector barrier. The resulting device with a GaN/AlN heterojunction as emitter, exhibits a current density of 50 A/cm², direct current gain above 3 and 75% injection efficiency, which are record values among graphene-base HETs. These results not only provide a scheme to overcome the limitations of graphene-base HETs toward THz operation but are also the first demonstration of a GaN/vdW heterostructure in HETs, revealing the potential for novel electronic and optoelectronic applications.

KEYWORDS: Hot electron transistor, graphene, GaN, WSe₂, van der Waals heterostructure, tunneling, transition metal dichalcogenides

The hot electron transistor1 is a promising device concept that could be used to overcome the limitations of heterojunction bipolar transistors (HBTs) and high electron mobility transistors (HEMTs) in ultrahigh frequency applications. The HET is a unipolar and majority carrier device where the base-to-emitter voltage controls the transport of ballistic hot electrons through a transit layer smaller than the mean free path ($\lambda_{mfp}$) of the carriers. Hence, HETs have the potential to exhibit a superior high-frequency performance relative to HBTs (limited by the diffusion of the minority carriers across the base) and HEMTs (limited by the saturation velocity of carriers and the lithography of the gate). As shown schematically in Figure 1a, a typical HET structure consists of a hot electron injector (emitter/emitter-barrier stack), a transport layer (base) and a hot electron analyzer or filter (collector-barrier/collector-stack). The HET can be considered as a combination of two back-to-back diodes connected in series, namely the E-B diode and the B-C diode. Under the operating conditions, the emitter-base (E-B) diode is forward biased ($V_{BE} > 0$ V) and electrons are injected into the base with an excess energy above the Fermi energy of the base ($eV_{BE} - E_F$). If the base-collector (B-C) diode is then reverse biased ($V_{CB} > 0$ V), it will allow the hot electrons reaching the base to travel to the collector with minimal scattering (quasi-ballistically) (Figure 1a). However, if $V_{CB} < 0$ V, the energy barrier for the base electrons (shown by the dotted lines in Figure 1a) will increase and majority of the injected electrons will thermalize in the base by scattering and quantum mechanical reflection and eventually contribute to the base leakage current. Such systems have been used for HET development including metal thin films,1,2 nonpolar III–V semiconductor heterostructures,3–6 complex oxides,7 and superconducting materials.8 However, the successful demonstration of high-performance HETs have been limited by the technological inability to scale the base thickness below the $\lambda_{mfp}$ of the carriers and electrostatic decoupling of the collector from emitter. In the thick base regions used so far, the injected hot electrons are thermalized in the base as a result of inelastic (intra- and intervalley) electron–electron, electron–phonon) and elastic (impurities) scattering. To reduce intervalley scattering, wide bandgap materials such as GaN have been recently used,9,10 as they show large intervalley separation. These GaN-based devices have demonstrated excellent current...
gain ($>10$)\textsuperscript{11} and current density ($\sim$kA/cm$^2$)\textsuperscript{11,12} at room temperature; however, similar to other bulk three-dimensional semiconductors the growth of an ultrascaled base layer still remains a challenge.

Single atomic layer two-dimensional (2D) materials are naturally suitable for applications requiring ultrathin, defect-free films. Several vertical tunneling devices\textsuperscript{13} for both logic (tunneling transistors,\textsuperscript{14} barristers\textsuperscript{15}) and high-frequency applications (resonant tunneling device\textsuperscript{16}) have been demonstrated using these materials. Monolayer graphene with ultrahigh mobility and a dangling-bond-free inert surface is an ideal candidate as a low resistance, scattering-free base material in HETs that can overcome the growth-related limitations in bulk semiconductors. Theoretical studies have predicted that with an optimized structure, a maximum unity gain operating frequency ($f_T$) up to several terahertz (THz),\textsuperscript{17-21} $I_{on}/I_{off}$ over $10^8$, and high current gain \textsuperscript{19,22,23} can be achieved in graphene-based HETs (GHET). The initial experimental demonstrations of GHETs\textsuperscript{24-27} showed successful operation in terms of current modulation (on-off ratio $>10^5$) but suffered from low output current density ($\sim$μA/cm$^2$), low current gain, low injection efficiency, low output impedance and high threshold voltage. These limitations are not intrinsic to the use of graphene as a base material and can be overcome by a careful design and optimization of the device, which are the goals of the present work.

One of the major shortcomings of the GHETs reported so far is the low output current density, which can be increased by improving the (i) emitter current ($I_E$) and (ii) injection efficiency or base transport factor, defined by

$$\alpha = \frac{I_C}{I_E}$$

as shown in the band diagram in Figure 1a, the quantity $I_E$ is dominated by the quantum mechanical tunneling of electrons from the emitter to the base. According to the WKB approximation, the tunneling probability ($T$) through a barrier can be defined as

$$T \propto e^{-f}$$

where $\gamma$ is a function of both the barrier thickness and height. Hence, the emitter current can be significantly increased by scaling the barrier thickness.\textsuperscript{27} However, the initial graphene HETs suffer from a low injection current density from the emitter through the emitter-base barrier due to the thick oxide layers ($>5$ nm SiO$_2$) typically used as the emitter-base barrier.\textsuperscript{24,25} Narrower tunneling barriers would improve the tunneling probability and also allow a lower turn-on voltage, which would allow the low voltage operation of the device, currently absent in existing HETs (with $V_{BE} > 2$ V). In the devices reported in this Letter (Figure 2a), we use ultrathin ALN on GaN as the emitter stack. The use of all-binary heterostructures eliminates leakage current due to percolation transport arising from random alloy fluctuation,\textsuperscript{28} while the large polarization difference between GaN and ALN enables a very high 2-DEG density in the GaN-side of the heterostructure, which allows for low emitter resistance. Moreover, GaN/ALN heterostructure has higher tunneling current than Si/SiO$_2$ due to smaller conduction band offset at the junction. The epitaxial nature of the ALN/GaN also provides high quality trap-free interface.

To improve the output current density, the common-base injection efficiency, $\alpha_b$, should be close to unity. $\alpha$ can be expressed as

$$\alpha = \alpha_B\alpha_{BC}\alpha_C$$

where $\alpha_B$, $\alpha_{BC}$, and $\alpha_C$ represents base efficiency, base-collector barrier filtering efficiency and collector efficiency, respectively. The base efficiency is defined as

$$\alpha_B = \exp \left( \frac{-W_B}{\lambda_{mfp}} \right)$$

where $W_B$ is the physical thickness of the base and $\lambda_{mfp}$ is the carrier mean free path. Monolayer graphene has near unity $\alpha_B$ because of its atomically thin nature ($t = 3.4$ Å), which is superior to any other bulk material. In the case of GaN, the mean free path is around $15$ nm$^2$ and $\alpha_B$ will be fundamentally
which will degrade the ballistic injection efficiency. For a trapezoidal barrier, the effective barrier thickness is equal to its physical thickness. Figure 1b shows a comparison of the average tunnel barrier width (computed at the full width at half-maximum (fwhm) of the tunnel barrier width) for different conventional dielectrics at the minimum electric field required to change the barrier to a triangular shape from trapezoidal. Owing to the large conduction band offset with graphene (that is, 4.5 eV for hBN), the required electric field to achieve a particular tunnel barrier width is relatively large so the device needs to be operated at a relatively large $V_{BC}$ which makes it prone to breakdown. Therefore, to improve $\alpha_{BC}$ the base-collector dielectric barrier has to be ultrathin. Moreover, the base-collector junction in these devices cannot completely screen the emitter electric field that causes severe increase in output conductance. Apart from this fact, forming ultrathin layers of the dielectrics on a graphene base is very challenging because the chemically inert, hydrophobic surface of graphene does not allow conformal nucleation of atomic layer deposition (ALD) thin film oxides. Therefore, as a result, relatively thick layers of oxides (~15–55 nm) have been used so far to achieve conformal, pinhole free barriers. Beside their thickness, these dielectrics have relatively large conduction band offsets with graphene (3.3 eV for Al$_2$O$_3$ and 2 eV for HfO$_2$), which cause a dramatic decrease of the current tunneling probability through the barrier and, thus, $\alpha_{BC}$ becomes very poor. Alternatively, one can use a semiconductor instead of an oxide dielectric as the base-collector barrier. Because the semiconductors can typically form a smaller band offset with graphene compared to oxides (for example, ~0.3 eV for n-Si$^{15}$, ~0.5 eV for Ge, ~0.7 eV for GaN$^{33}$), they have a strong band bending effect at the Schottky junction with a metal, which can provide a steep triangular barrier. Therefore, the required field to achieve a given tunnel barrier width is much lower than for insulators (Figure 1b). The doping density and the barrier thickness are additional parameters that can be tuned in semiconductor-based barriers to further improve $\alpha_{BC}$. Figure 1c shows the energy band diagram of the proposed HET structure with the graphene/semiconductor heterojunction as the base-collector barrier. The effective tunnel barrier width ($W_{BC}$) at nonpositive $V_{CB}$ (solid line) is large enough to block the tunneling of carriers from the base to the collector, similar to a conventional metal/semiconductor heterojunction. In the reverse bias condition ($V_{BC} > 0$ V), the tunnel barrier width is reduced (dotted lines), therefore the carrier tunneling probability would increase.

However, the deposition of conventional semiconductors on graphene encounters the same challenges as the oxides, that is, nonuniformity and poor material quality. In this work, we overcome this difficulty by using layered semiconductors from the family of transition metal dichalcogenides (WSe$_2$, MoS$_2$, SnS$_2$ and so forth). Thin-films of these materials, as thin as a monolayer, can be easily obtained by mechanical exfoliation owing to weak interlayer van der Waals forces. The atomic layers can then be mechanically transferred on any arbitrary substrate or paired with another atomic layer to form a van der Waals heterojunction with a defect-free, sharp interface. Herein, we propose to use a graphene/WSe$_2$ base-collector junction (Figure 2a), where the graphene-base forms a Schottky barrier to the WSe$_2$ layer. WSe$_2$ is an ambipolar semiconductor with a bulk bandgap of 1.2 eV that increases to 1.6 eV in the monolayer. This configuration would potentially benefit from the small graphene/WSe$_2$ band offset energy (~0.54 eV$^{33}$), which is in the typical range for a graphene/semiconductor interface.
juncture. The layered nature of WSe2 enables a thickness control from the monolayer \((t \sim 0.65 \text{ nm})\) to bulk. In this work, we also study the effect of collector layer thickness on the performance metrics of GHET.

Figure 2a presents the schematic of the device structure used in this work. We have used a bulk n-GaN \((N_d \sim 10^{19} \text{ cm}^{-3})\) substrate grown by the ammonothermal method to achieve a low threading dislocation defect (TDD) density \(<10^5 \text{ cm}^{-2}\) emitter. A 3 nm AlN tunneling layer was grown top by plasma-assisted molecular beam epitaxy (details of the growth method are in the Supporting Information). The use of a low TDD density substrate ensures a high film quality and minimal leakage current through the dislocations. A 3 nm GaN layer was used as a capping layer between the AlN and the graphene base.

The surface of the as-grown heterostructure was pinhole free and shows atomic steps with a surface roughness of less than 0.5 nm (the atomic force microscopy image is in the Supporting Information). Electron-beam evaporation was used to deposit an Al film on the back-side to contact the 2-DEG at the AlN/GaN interface through heavily doped n-GaN. To prevent the parasitic conduction between the base and emitter, plasma-enhanced chemical vapor deposition (PECVD) deposited thick SiO2 was used as isolation dielectric (See Figure 2a). A monolayer graphene thin film was grown by low-pressure chemical vapor deposition (LPCVD) and then transferred with ethyl-vinyl acetate (EVA) as the supporting polymer. [The details of the fabrication method are in the Supporting Information.] The use of EVA ensured that the graphene surface had minimal residue from the transfer process.34 The transport characteristics of a representative GaN/AlN/graphene diode are shown in Figure 2b. The best performing diode shows a current density of \(~10 \text{ A/cm}^2\) at \(V_{BE} = 2 \text{ V}\), which is \(~150\times\) higher than the highest reported current value for oxide tunnel barriers at the same bias.27 (See Supporting Information for performance comparison of the GaN/AlN B-E barrier with an Al2O3 barrier.) The current density can be further increased by using a thinner barrier with improved film quality. The transport through a tunnel barrier can arise from several potential mechanisms, such as direct tunneling of cold electrons through the barrier, Poole–Frenkel emission through the trap states or Fowler–Nordheim tunneling.27 However, both direct tunneling and Poole–Frenkel emission exhibit a very small selectivity for hot electron generation over that for a cold electron, because carrier transport can occur at any energy in the range from the emitter conduction band to the top of the tunnel barrier. However, the Fowler–Nordheim tunneling mechanism is highly selective for generating hot electrons at the base.27 According to the Fowler–Nordheim model the current–voltage has the following relationship

\[
J \propto E^2 \exp \left( - \frac{K}{E} \right)
\]

where \(K\) is a constant dependent on the material parameters, \(E\) is the electric field across the barrier, and \(J\) is the current density. If we plot the diode characteristics as \(\log(I/V^2)\) versus \(V\) (Figure 2b (inset)), a good fit is achieved with the Fowler–Nordheim tunneling model at higher \(V_{BE}\) which confirms that the dominant transport mechanism is Fowler–Nordheim tunneling at that bias range and is favorable for hot electron generation. Obviously, the thickness of the B–C barrier is crucial for enhancing the injection ratio of the hot electron carriers from the emitter to the collector and minimizing the background B–C cold current emission.

To evaluate the impact of the WSe2 thickness in our HETs, we fabricated two sets of devices. Device-A is few layer \((N = 4)\) WSe2 barrier and device B is 10 nm thick WSe2 \((N \sim 16)\).

Figure 2c plots the transport characteristics of the B-C diode in device A. The heterojunction was formed by pickup and dry transfer (see the Supporting Information for details) of mechanically exfoliated 4-layer WSe2 flakes on a prefabricated B-E stack. The diode characteristics showed a weak rectification with a forward to reverse current ratio of 3 at \(V_{BC} = 1 \text{ V}\).

Figure 3a shows the common-base GHET characteristics under different emitter injection currents. The emitter current values were selected considering the current levels obtained in
the B-E diode measurements shown in Figure 2b. In this configuration, the collector bias was swept while the base was grounded. For negative $V_{\text{CB}}$ values in region I (forward bias condition of B-C diode), the injected electrons from the emitter encounter the elevated B-C potential barrier as shown in Figure 3b. As a result, the majority of the electrons contribute to the base current after being reflected by the barrier. Maximum reflection occurs at $V_{\text{CB}} \sim -0.75 \text{ V}$, corresponding to the $I_C = 0$ ($I_B = I_E$) condition. However, if $V_{\text{CB}}$ is gradually increased toward the reverse bias condition of B-C diode (I → II), the potential barrier decreases and gradually becomes energetically favorable for the injected electrons to surmount the barrier. This effect is evident in Figure 3a, where the collector current increases from zero to the emitter current level owing to the decreased width of the base collector barrier. Figure 3c plots $\alpha$ at this bias range, which increases from zero to approximately unity. However, if we further increase $V_{\text{CB}}$ (region III, as shown schematically in Figure 3b), the cold electron leakage increases and becomes the dominating component in $I_C$, which explains the upturn in current starting at $V_{\text{BC}}$ of approximately 0.3 V.

Although this device shows excellent HET characteristics in terms of $\alpha$, it has a very limited operating $V_{\text{BC}}$ window, which suffers from a poor blocking capability of the B–C junction with an ultrathin WSe$_2$ barrier. This limitation arises from the fact that the WSe$_2$ flake used in this device is only 4 atomic layers thick, which allows the hot electron to reach the collector with minimum loss but also, as a blocking barrier, works only for a small bias range (region I and II) with a maximum $V_{\text{CB}} \sim 0.3 \text{ V}$. Increasing the number of layers can improve the blocking as the larger interlayer resistance between layers of WSe$_2$ would suppress the cold electron transport between base and collector. Therefore, to achieve a better blocking capability, further optimization of the barrier geometry is required.

To understand the effect of the barrier thickness, we increased the WSe$_2$ thickness to 10 nm in device B. The B–C diode characteristics showed that the current through the graphene/WSe$_2$ diode was much smaller ($0.5 \text{ nA at } V_{\text{CB}} = 1 \text{ V}$ compared with $200 \text{ nA in device A}$) owing to the increased tunneling resistance.

To characterize the transistor operation, we first biased the device in the common-base mode. Figure 4c plots the base and collector current versus base-emitter voltage at different $V_{\text{CB}}$ values. In the absence of an electric field across the B-C junction ($V_{\text{CB}} = 0 \text{ V}$), the current flow through collector terminal is due to injected carriers from emitter and the current value becomes negligible at $V_{\text{BE}} = 0 \text{ V}$. If $V_{\text{CB}}$ was increased to higher values, the current magnitude at $V_{\text{BE}} = 2 \text{ V}$ shows very small change which indicates that collector current is mainly due to hot electron injection from emitter. However, for lower $V_{\text{BE}}$ values we notice a significant increase in the collector current indicating the influence of cold electron leakage ($I_{\text{BC}}$) under these biasing conditions.

Next, we biased the device in the common-emitter configuration to study the gain characteristics. Analogous to BJT, the dc current gain ($\beta$) in the HET is defined by the following equation in the common-emitter mode

$$\beta = \frac{I_C}{I_B}$$

For technological applications, it is important to have the current gain at high current values. Figure 4d plots the simultaneous $I_C$ and $I_B$ at $V_{\text{CB}} = 3 \text{ V}$, where the current value is a maximum and we have gain larger than 1. The resultant current gain at $V_{\text{CB}} = 3 \text{ V}$ was found to be $\sim 5.5$ at $I_C = 11 \mu\text{A}$.
Figure 5. (a) Common-base HET characteristics of the HET at different constant emitter injection currents. The inset compares $I_{BC}$ (the leakage current measured in the two-terminal configuration), $I_c$ and $I_g$ at $I_e = 5 \mu A$. (b) Modified injection efficiency in the common-base mode for the characteristics in (a). The inset shows a comparison of the injection efficiencies before and after base-collector cold electron leakage correction as a function of $I_e$.

$(I_c = 50 \text{ A/cm}^2)$, which is a record among all graphene-base HETs reported in the literature.

To understand the origin of the current gain, we characterized the device in the common-base configuration with the emitter terminal used as the constant current source. Since the emitter current value was set to a constant value here, the CB mode measurement could evaluate the hot electron filtering capability of the B-C barrier independent of the B-E heterojunction characteristics. At the low bias regime ($V_{CB} < 0.7 \text{ V}$), the collector current was negligible, irrespective of the emitter injection current value. This lower collector current value indicated that all the injected electrons from the emitter get reflected at the B-C interface, and eventually thermalize by scattering at the base where they contribute to the base leakage current. At a higher $V_{CB}$, the energy barrier of the B-C diode becomes thinner and thus allows the hot electron to pass through the barrier. As a result, the collector current increased monotonically until it reached the emitter current. The inset of Figure 5a compares the base and collector currents at $I_e = 5 \mu A$ and shows a monotonic increase (decrease) of the collector (base) current, which supports the above explanation of the device behavior. Additionally, we should note that using a thicker WSe$_2$ layer in device B allows a larger $V_{CB}$ voltage up to 3 V, compared with 0.3 V in device A. However, increasing the thickness of WSe$_2$ would affect the emission rate of the injected hot electrons as they would have to travel a longer physical path from base to the collector.

The emission rate of the injected hot electrons can be quantitatively evaluated by calculating the common-base injection ratio ($\alpha$), which represents the ratio of electrons successfully transferred to the collector over the total number of injected electrons from the emitter. Because the emitter current is fixed at a constant value in the CB measurement configuration, the trend of the collector current should represent the value of $\alpha$ which reached to 1 at higher $V_{CB}$. A unity value of $\alpha$ means all the hot electrons injected from the emitter are being transferred to the collector without being lost in the base owing to scattering or reflection at the C-B barrier (i.e., $I_c = I_{BC}$, and $I_{BC} = 0$). However, at nonzero $V_{CB}$, there is a finite current ($I_{BC}$) flowing between the base and the collector that increases with $V_{CB}$. The carriers injected from the base to collector are cold electrons, which do not contribute to the amplification. Therefore, calculating $\alpha$ without correcting for B-C leakage overestimates the current gain of the device. Hence, to evaluate the actual amplification potential of the device, we define the ballistic injection efficiency $\alpha^*$ to estimate the ratio of electrons quasi-ballistically transferred from the emitter to collector over the total number of injected carriers. To estimate the ballisticity of the device, we subtracted the B-C leakage of the device corresponding to $I_e = 0 \text{ A}$ from the collector current obtained at nonzero emitter current levels and we define the ballistic injection ratio as $\alpha^* = (I_c - I_c(I_e = 0 \text{ A})) / I_{BC}$. Figure 5b shows $\alpha^*$ for the same biasing conditions used in Figure 5a. At low $V_{CB}$, $\alpha$ and $\alpha^*$ are essentially the same because the base-collector leakage is negligible. At high $V_{CB}$ biases, the relative increase of $\alpha$ and $\alpha^*$ is different owing to the increase of cold electron injection from the base. The ballistic injection efficiency of the present device is a competition between the hot electron transfer and the efficiency from the emitter and the cold electron injection from the base. As a result, $\alpha^*$ keeps increasing with $V_{CB}$ until it reaches a peak value where the base collector leakage component starts to dominate the collector current and $\alpha^*$ starts to decrease while $\alpha$ keeps increasing. The maximum value of $\alpha^*$ is independent of the injection emitter current level of the emitter, as shown in the inset of Figure 5b. The maximum value of 0.75 indicates that 75% of the injected electrons from the emitter quasi-ballistically travel to the collector terminal, while 25% of the electrons are lost in the base because of reflection. We noted in device A with ultrathin WSe$_2$, $\alpha$ was approximately 100% with the price of a very low operation $V_{CB}$. We can calculate the current gain ($\beta$) in device B using the following formula, similar to the heterojunction bipolar transistor, $\beta = \alpha/(1 - \alpha)$. The maximum $\beta$ is found to be $\sim 3$, which is consistent with the common-emitter mode $\beta$ obtained in Figure 3. As the comparison of devices A and B shows, the selection of the collector barrier thickness is a trade-off between the tunneling current density and filtering capability of the barrier. Further in-depth studies based of the targeted device application are required to fully optimize the B-C barrier thickness. Addition-
ally, different layered semiconductors can be studied and benchmarked.

We compare the collector current density and dc current gain of different HETs with sub-10 nm base thickness reported in the literature in Table 1. It is evident that the use of a graphene/semiconductor junction improves $J_C$, $\alpha$, and $\beta$ when compared with the graphene-base oxide collector HETs. (Supporting Information S5 compares the performance of a graphene/WSe$_2$/Au diode with its counterpart having Al$_2$O$_3$ as the barrier.) However, the injection efficiency is still 75% owing to leakage of cold electrons from the base to the collector at the operating condition. A possible solution to enhance the injection efficiency could be the insertion of an ultrathin insulating hBN tunnel barrier between the graphene and WSe$_2$. The height of the tunnel barrier may prevent the transport of cold electrons near the base conduction band to the collector, while the ultrathin barrier thickness will add minimal resistance to the energetic hot electrons in the base.

In conclusion, we have demonstrated a graphene-base HET with record performance by using a graphene/WSe$_2$ heterojunction as a base-collector barrier on GaN. This hybrid GaN/vdW HET exhibits $J_C$ of 50 A/cm$^2$, $\beta$ in excess of 3, and $\alpha$ of 0.75, which can be further improved by a structural and geometric optimization of the device structure. To the best of our knowledge, this work is the first demonstration of the integration of GaN and a van der Waals heterostructure in HETs and is hoped to lead the way for numerous novel device structures that can be implemented by integrating these two unique material systems.

**ASSOCIATED CONTENT**

Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.nanolett.7b00451.

Additional data concerning the AlN growth, device fabrication, graphene growth and transfer, heterostructure fabrication by dry transfer technique, and electrical characterization of HET with high-$\kappa$ dielectric barriers (PDF)

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The authors declare no competing financial interest.

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